

October 04, 2016

To

Corporate Relations  
BSE Limited

Phiroze Jeejeebhoy Towers, Dalal Street,  
Mumbai-4000 01  
(Security Code : 534615)

The Manager (Listing Department)  
National Stock Exchange of India Limited  
Exchange Plaza, C-1, Block G,  
Bandra Kurla Complex, Bandra (E)  
Mumbai – 400 051  
(Symbol: NECCLTD)

The Manager (Listing Department)  
Delhi Stock Exchange Limited  
DSE House, 3/1, Asaf Ali Road  
New Delhi – 110002

Dear Sir(s)

**SUB: SUBMISSION OF COMPLIANCE REPORT FOR THE QUARTER AND HALF YEAR ENDED ON SEPTEMBER 30, 2016 ON CORPORATE GOVERNANCE AS PER REGULATION 27(2) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

Please find enclosed herewith the Compliance Report on Corporate Governance for the quarter and half-year ended on September 30, 2016 as per Regulation 27(2) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015.

This is for your information and records.

Thanking You

Yours faithfully

For North Eastern Carrying Corporation Limited

*Anand K. Pandey*  
(Anand Kumar Pandey)  
Company Secretary  
ICSI Mem. No. A38239



**COMPLIANCE REPORT ON CORPORATE GOVERNANCE FOR THE QUARTER ENDED ON SEPTEMBER 30, 2016 UNDER REGULATION 27(2) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

Name of Listed Entity: **North Eastern Carrying Corporation Limited**

Quarter ending: **September 30, 2016**

I. Composition of Board of Directors								
Title	Name of the Director	Pan <sup>5</sup> & DIN	Category (Chairperson/ Executive/Non-Executive/independent/Nominee) <sup>6</sup>	Date of Appointment in the Current Term/cessation	Tenure* (in months)	No. of Directorship in listed entities including this listed entity	Number of Membership in Audit/Stakeholder Committee(s) including this listed entity	No. of post of Chairperson in audit/stakeholder committee held in listed entities including this listed entity
Mr.	Sunil Kumar Jain	AAIPJ 5927B & 00010695	Chairperson/ Managing Director /Executive	10.08.2015	-	2	2	0
Mr.	Utkarsh Jain	ATPPJ6751R & 05271884	Whole time Director/ Executive Director	10.08.2015		1	0	0
Mrs.	Vanya Jain	AAFPJ2289P & 01364596	Non-Executive Director/ Woman Director	18.08.2014	-	2	0	0
Mr.	Manoj Kumar Jain	AGHPJ0168K & 02573858	Non Executive Director/ Independent Director	01.04.2014	60	3	1	2
Mr.	Mohak Jain	AHLPJ1782E & 02525330	Non Executive Director/ Independent Director	01.04.2014	60	1	2	0
Mr.	Utsav Jain	AGMPJ0452F & 01609344	Non Executive Director/ Independent Director	01.04.2014	60	1	2	0

<sup>5</sup>PAN number of any director would not be displayed on the website of Stock Exchange

<sup>6</sup>Category of directors means executive/non-executive/independent/Nominee. If a director fits into more than one category write all categories separating them with hyphen

\* to be filled only for Independent Director. Tenure would mean total period from which Independent director is serving on Board of directors of the listed entity in continuity without any cooling off period.





II. Composition of Committees			
Name of Committee	Name of the committee members	Category (Chairperson/Executive/Non-Executive/independent/Nominee) <sup>5</sup>	
1.Audit Committee	Mr. Manoj Kumar Jain Mr. Mohak Jain Mr. Utsav Jain	Chairperson/Independent Member/Independent Member/Independent	
2.Nomination & Remuneration Committee	Mr. Manoj Kumar Jain Mr. Mohak Jain Mr. Utsav Jain	Chairperson/Independent Member/Independent Member/Independent	
3. Stakeholder Relationship Committee	Mr. Manoj Kumar Jain Mr. Mohak Jain Mr. Utsav Jain	Chairperson/Independent Member/Independent Member/Independent	
4. Corporate Social Responsibility Committee	Mr. Sunil Kumar Jain Mrs. Vanya Jain Mr. Utsav Jain Mr. Shyam Lal Yadav Mr. Vinod R Nair	Chairperson/Executive Member/Non-Executive Member/Executive Member/Executive Member/Executive	
4. Risk Management Committee	Mrs. Vanya Jain Mr. Shyam Lal Yadav Mr. Mohak Jain Mr. Utsav Jain	Chairperson/Non-Executive Member/Executive Member/Independent Member/Independent	
& Category of directors means executive/non-executive/independent/Nominee. if a director fits into more than one category write all categories separating them with hyphen			
III. Meeting of Board of Directors			
Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Maximum gap between any two consecutive (in number of days)	
30.05.2016	10.08.2016	71	
IV. Meeting of Committees			
Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (details)	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings in number of days*
Audit Committee 10.08.2016	Yes (All Members are present throughout the meeting)	30.05.2016	71
* This information has to be mandatorily be given for audit committee, for rest of the committees giving this information is optional			
V. Related Party Transactions			
Subject		Compliance Status (Yes/No/NA) <sup>refer note below</sup>	
Whether Prior approval of audit committee obtained		NA	
Whether shareholder approval obtained for material RPT		NA	
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee		NA	
<b>Note</b>			
1 In the column “Compliance Status”, compliance or non-compliance may be indicated by Yes/No/N.A. For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words “N.A.” may be indicated.			
2 If status is “No” details of non-compliance may be given here.			

Anand K. Pandey



**VI. Affirmations**

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - Yes
2. The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015
  - a. Audit Committee - Yes
  - b. Nomination & Remuneration Committee. - Yes
  - c. Stakeholders relationship committee. - Yes
  - d. Risk management committee (applicable to the top 100 listed entities). – Yes (non-mandatory Committee)
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - Yes
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - Yes
5. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. Any comments/observations/advice of Board of Directors may be mentioned here: NA

For North Eastern Carrying Corporation Limited

*Anand K. Pandey*

Anand Kumar Pandey

(Company Secretary and Compliance officer)

ICSI Mem. No. 38239



**Note:**

Information at Table I and II above need to be necessarily given in 1<sup>st</sup> quarter of each financial year. However if there is no change of information in subsequent quarter(s) of that financial year, this information may not be given by Listed entity and instead a statement "same as previous quarter" may be given.



**COMPLIANCE REPORT ON CORPORATE GOVERNANCE FOR THE HALF-YEAR ENDED ON SEPTEMBER 30, 2016 UNDER REGULATION 27(2) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

<b>I. Affirmations</b>			
<b>Broad heading</b>	<b>Regulation Number</b>	<b>Compliance status (Yes/No/NA)refer note below</b>	<b>Remarks</b>
Copy of the annual report including balance sheet, profit and loss account, directors report, corporate governance report, business responsibility report displayed on website	46(2)	Yes	Available on the Company's Website i.e. <a href="http://www.neccgroup.com">www.neccgroup.com</a>
Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	Yes	-
Presence of Chairperson of the nomination and remuneration committee at the annual general meeting	19(3)	Yes	-
Whether "Corporate Governance Report" disclosed in Annual Report	34(3) read with para C of Schedule V	Yes	Available on the Company's Website i.e. <a href="http://www.neccgroup.com">www.neccgroup.com</a>

**Note:**

1. In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/N.A. For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words "N.A." may be indicated.
2. If status is "No" details of non-compliance may be given here.  
If the Listed Entity would like to provide any other information the same may be indicated here.

For North Eastern Carrying Corporation Limited

*Anand K. Pandey*  
Anand Kumar Pandey  
(Company Secretary and Compliance Officer)  
ICSI Mem. No. 38239

