

January 09, 2018

To
Corporate Relations
BSE Limited
Phiroze Jeejeebhoy Towers, Dalal Street,
Mumbai-4000 01
(Security Code : 534615)

The Manager (Listing Department)
National Stock Exchange of India Limited
Exchange Plaza, C-1, Block G,
Bandra Kurla Complex, Bandra (E)
Mumbai - 400 051
(Symbol: NECCLTD)

The Manager (Listing Department)
Delhi Stock Exchange Limited
DSE House, 3/1, Asaf Ali Road
New Delhi - 110002

Dear Sir(s),

SUB: SUBMISSION OF COMPLIANCE REPORT FOR THE QUARTER ENDED ON DECEMBER 31, 2017 ON CORPORATE GOVERNANCE AS PER REGULATION 27(2) OF THE SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

Please find enclosed herewith the Compliance Report on Corporate Governance for the quarter ended on December 31, 2017 as per Regulation 27(2) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015.

This is for your information and records.

Thanking You

Yours faithfully
For North Eastern Carrying Corporation Limited
For North Eastern Carrying Corporation Ltd.


(Mamta Bisht) Company Secretary
Company Secretary & Compliance Officer

**COMPLIANCE REPORT ON CORPORATE GOVERNANCE FOR THE QUARTER ENDED ON
DECEMBER 31, 2017 UNDER REGULATION 27(2) OF THE SECURITIES AND EXCHANGE BOARD
OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

Name of Listed Entity:
Quarter ending:

**North Eastern Carrying Corporation Limited
December 31, 2017**

I. Composition of Board of Directors								
Title	Name of the Director	Pan ^s & DIN	Category (Chairperson /Executive/Non-Executive /Independent /Nominee) &	Date of Appointment in the Current Term/cessation	Tenure* (in months)	No. of Directorship in listed entities including this listed entity	Number of Membership in Audit/Stakeholder Committee(s) including this listed entity	No. of post of Chairperson in audit/stakeholder committee held in listed entities including this listed entity
Mr.	Sunil Kumar Jain	AAIPJ 5927B & 00010695	Chairperson / Managing Director /Executive	01.10.2015	-	1	0	0
Mr.	Utkarsh Jain	ATPPJ6751R & 05271884	Whole time Director/ Executive Director	01.10.2015	-	1	0	0
Mr.	Manoj Kumar Jain	AGHPJ0168 K & 02573858	Non Executive Director/ Independent Director	01.04.2014	60	3	1	5
Mr.	Mohak Jain	AHLPJ1782E & 02525330	Non Executive Director/ Independent Director	01.04.2014	60	1	2	0
Mr.	Utsav Jain	AGMPJ0452 F & 01609344	Non Executive Director/ Independent Director	01.04.2014	60	1	2	0
Mrs	Reena Gupta	AGUPG2237 M & 06966728	Non Executive Director/ Non Independent Director	25.04.2017	-	3	3	1

*PAN number of any director would not be displayed on the website of Stock Exchange

&Category of directors means executive/non-executive/independent/Nominee. if a director fits into more than one category write all categories separating them with hyphen

* To be filled only for Independent Director. Tenure would mean total period from which Independent director is serving on Board of directors of the listed entity in continuity without any cooling off period.



Composition of Committees

Name of Committee	Name of the committee members	Category (Chairperson/Executive/Non-Executive/independent/Nominee) ^s
1. Audit Committee	Mr. Manoj Kumar Jain Mr. Mohak Jain Mr. Utsav Jain	Chairperson/Independent Member/Independent/Non-Executive Member/Independent/Non-Executive
2. Nomination & Remuneration Committee	Mr. Manoj Kumar Jain Mr. Mohak Jain Mr. Utsav Jain	Chairperson/Independent Member/Independent/Non-Executive Member/Independent/Non-Executive
3. Stakeholder Relationship Committee	Mr. Manoj Kumar Jain Mr. Mohak Jain Mr. Utsav Jain	Chairperson/Independent Member/Independent/Non-Executive Member/Independent/Non-Executive
4. Corporate Social Responsibility Committee	Mr. Sunil Kumar Jain Mrs. Reena Gupta Mr. Utsav Jain Mr. Shyam Lal Yadav Mr. Vinod R Nair	Chairperson/Executive Member/Non-Executive Member/Non-Executive/Independent Member Member

* Category of directors means executive/non-executive/independent/Nominee. if a director fits into more than one category write all categories separating them with hyphen

III. Meeting of Board of Directors

Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Maximum gap between any two consecutive (in number of days)
09.08.2017	12.10.2017	63 days
	30.10.2017	17 days
	13.11.2017	13 days

IV. Meeting of Committees

Date(s) of meeting of the committee in the relevant quarter	Whether requirement of Quorum met (details)	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings in number of days*
Audit Committee 04-10-2017	Yes (All Members are present throughout the meeting)	08.09.2017	25 days
Audit Committee 13.11.2017	Yes (All Members are present throughout the meeting)		39 days

* This information has to be mandatorily be given for audit committee, for rest of the committees giving this information is optional

V. Related Party Transactions

Subject	Compliance Status (Yes/No/NA) refer note below
Whether Prior approval of audit committee obtained	NA
Whether shareholder approval obtained for material RPT	NA
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by Audit Committee	NA



In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/N.A. For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words "N.A." may be indicated.

2 If status is "No" details of non-compliance may be given here.

VI. Affirmations

1. The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
2. The composition of the following committees is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015
 - a. Audit Committee - **Yes**
 - b. Nomination & Remuneration Committee. - **Yes**
 - c. Stakeholder's relationship committee. - **Yes**
 - d. Risk management committee (applicable to the top 100 listed entities). - **Yes (non-mandatory Committee)**
3. The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
4. The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015. - **Yes**
5. This report and/or the report submitted in the previous quarter has been placed before Board of Directors. **Yes**
Any comments/observations/advice of Board of Directors may be mentioned here: **No**

For North Eastern Carrying Corporation Limited

For North Eastern Carrying Corporation Ltd.


(Mamta Bisht)

Company Secretary

Company Secretary and Compliance Officer