| General information about company | | | | | | |
|--|--|--|--|--|--|--|
| Scrip code | 534615 | | | | | |
| Name of the company | North Eastern Carrying Corporation Limited | | | | | |
| Class of Security | Equity Shares | | | | | |
| Type of report | Quarterly | | | | | |
| Quarter Ended | 31-12-2015 | | | | | |
| Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date | | | | | | |
| Shareholding pattern filed under | Regulation 31 (1) (b) | | | | | |

| Sr. No. | Particular | Yes/No |
|---------|--|--------|
| 1 | Whether the Listed Entity has issued any partly paid up shares? | No |
| 2 | Whether the Listed Entity has issued any Convertible Securities? | No |
| 3 | Whether the Listed Entity has issued any Warrants? | No |
| 4 | Whether the Listed Entity has any shares against which depository receipts are issued? | No |
| 5 | Whether the Listed Entity has any shares in locked-in? | No |
| 6 | Whether any shares held by promoters are pledge or otherwise encumbered? | No |
| 7 | Whether company has equity shares with differential voting rights | No |

| | | Ta | ble I - Su | mmar | y Stateme | nt holdin | g of specified s | securities | | | | |
|--------------|---|----------------------|-------------------------|-----------------------|------------------------------------|----------------------|--|---------------------------------|---|------------------|-------|-------------------------------|
| | | No. of | No. of | No. Of Partly | No. Of | Total nos. | Shareholding as a % of total no. | Number of Voting | Number of Voting Rights held in each class of securities (IX) | | | |
| Category (I) | Category of shareholder | Nos. Of shareholders | fully paid up equity | paid- up equity | shares underlying Depository | shares held (VII) | of shares (calculated as per SCRR, | Rights held in each class | | Voting Rights | | Total as a % of (A+B+C) |
| | (II) | (III) | shares held (IV) | shares held (V) | Receipts (VI) | = (IV)+ (V)+ (VI) | 1957) (VIII) As a % of (A+B+C2) | of securities (IX) | Class eg: X | Class eg:y | Total | |
| (A) | Promoter & Promoter Group | 6 | 37561100 | | | 37561100 | 74.83 | | | | | |
| (B) | Public | 1194 | 12636236 | | | 12636236 | 25.17 | | | | | |
| (C) | Non Promoter- Non Public | | | | | | | | | | | |
| (C1) | Shares underlying DRs | | | | | | | | | | | |
| (C2) | Shares held by Employee Trusts | | | | | | | | | | | |
| | Total | 1200 | 50197336 | | | 50197336 | | | | | | |

| | | Table | I - Sumn | nary Stateme | nt holding of specified | d sec | urities | | | |
|----------|---|---|--------------------|---|---|------------|---------------------------------|----------------------|---------------------------------|------------------------------|
| Category | Category of | No. Of Shares Underlying Outstanding | No. Of Warrants | No. Of Shares Underlying Outstanding convertible | Shareholding, as a % assuming full conversion of convertible securities (| Locl | nber of ked in es (XII) | Shar pled othe | ged or rwise imbered | Number of equity shares |
| (I) | shareholder (II) | convertible securities (X) | (Xi) | securities and No. Of Warrants (Xi) (a) | as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | dematerialized form (XIV) |
| (A) | Promoter & Promoter Group | | | | 74.83 | | | | | 37561100 |
| (B) | Public | | | | 25.17 | | | | | 12636236 |
| (C) | Non Promoter- Non Public | | | | | | | | | |
| (C1) | Shares underlying DRs | | | | | | | | | |
| (C2) | Shares held by Employee Trusts | | | | | | | | | |
| | Total | | | | | | | | | 50197336 |

| | | | No. of | No. Of Partly | No. Of shares | Total nos. shares | Shareholding as a % of total no. of | held i | | oting F class of | |
|--|---|----------------------------------|-----------------------------------|-----------------------------|--------------------------|-----------------------|-------------------------------------|------------------------------|---------------|---------------------|---------------------------|
| Sr. | Category & Name of the Shareholders (I) | Nos. Of shareholders (III) | fully paid up equity shares | paid-up equity shares | underlying Depository | held (VII) = | shares (calculated as per SCRR, | No of Voting (XIV) Rights | | | Total as a % of |
| | | | held (IV) | held (V) | Receipts (VI) | (IV)+ (V)+ (VI) | 1957) (VIII) As a % of (A+B+C2) | Class eg: X | Class eg:y | Total | Total Voting rights |
| A | Table II - Statement | showing sharel | holding patt | ern of the | Promoter an | d Promoter | Group | | | | |
| (1) | Indian | | | | | | | | | | |
| (a) | Individuals/Hindu undivided Family | 3 | 17938668 | | | 17938668 | 35.74 | | | | |
| (d) | Any Other (specify) | 3 | 19622432 | | | 19622432 | 39.09 | | | | |
| Sub-Total (A) (1) | | 6 | 37561100 | | | 37561100 | 74.83 | | | | |
| (2) | Foreign | | | | | | | • | • | • | |
| Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2) | | 6 | 37561100 | | | 37561100 | 74.83 | | | | |
| В | Table III - Statement | showing share | cholding pat | tern of th | e Public shar | eholder | | | | | • |
| (1) | Institutions | | | | | | | | | | |
| (3) | Non-institutions | | | | | | | | | | |
| (a(i)) | Individuals - i.Individual shareholders holding nominal share capital up to Rs. 2 lakhs. | 1036 | 782965 | | | 782965 | 1.56 | | | | |
| (a(ii)) | Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs. | 13 | 2022526 | | | 2022526 | 4.03 | | | | |
| (e) | Any Other (specify) | 145 | 9830745 | | | 9830745 | 19.58 | | | | |
| Sub-Total (B) (3) | | 1194 | 12636236 | | | 12636236 | 25.17 | | | | |
| Total Public Shareholding (B)=(B)(1)+ (B)(2)+(B)(3) | | 1194 | 12636236 | | | 12636236 | 25.17 | | | | |
| С | Table IV - Statement | showing share | eholding pat | tern of th | e Non Promo | oter- Non Pu | blic shareholder | | | | |
| Total (A+B+C2) | | 1200 | 50197336 | | | 50197336 | 100 | | | | |
| | | | | | | | | | | | |

| Sr. | Outstanding | No. Of | | Shareholding, as a % assuming full conversion of convertible securities (as a | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares |
|--|------------------|---------------------|---------------------|---|--|---------------------------------|--|---------------------------------|------------------------------|
| | | (Xi) securities and | | percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | dematerialized form (XIV) |
| A | Table II - State | ement show | ving shareholding p | pattern of the Promoter and Pro | omote | r Group | | | |
| (1) | Indian | | | | | | | | |
| (a) | | | | 35.74 | | | | | 17938668 |
| (d) | | | | 39.09 | | | | | 19622432 |
| Sub-Total (A) (1) | | | | 74.83 | | | | | 37561100 |
| (2) | Foreign | | | | | | | | |
| Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2) | | | | 74.83 | | | | | 37561100 |
| В | Table III - Stat | ement sho | wing shareholding | pattern of the Public sharehold | ler | | | | |
| (1) | Institutions | | | | | | | | |
| (3) | Non-institution | ns | | | | | | | |
| (a(i)) | | | | 1.56 | | | | | 782965 |
| (a(ii)) | | | | 4.03 | | | | | 2022526 |
| (e) | | | | 19.58 | | | | | 9830745 |
| Sub-Total (B) (3) | | | | 25.17 | | | | | 12636236 |
| Total Public Shareholding (B)=(B)(1)+ (B)(2)+(B)(3) | | | | 25.17 | | | | | 12636236 |
| С | Table IV - Star | tement sho | wing shareholding | pattern of the Non Promoter- | Non I | ublic sh | areho | lder | |
| Total (A+B+C2) | | | | 100 | | | | | 50197336 |
| Total (A+B+C | | | | | | | | | 50197336 |

| | Individ | uals/Hindu undivided F | amily | |
|---|---------------------------------------|------------------------|--------------|----------|
| Searial No. | 1 | 2 | 3 | |
| Name of the Shareholders (I) | Sunil Kumar Jain | Vanya Jain | Utkarsh Jain | |
| PAN (II) | AAIPJ5927B | AAFPJ2289P | ATPPJ6751R | Total |
| No. of fully paid up equity shares held (IV) | 12663768 | 2274900 | 3000000 | 17938668 |
| No. Of Partly paid-up equity shares held (V) | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 12663768 | 2274900 | 3000000 | 17938668 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 25.23 | 4.53 | 5.98 | 35.74 |
| Number of Voting | Rights held in each class of securiti | es (IX) | | |
| Class eg:X | | | | |
| Class eg:y | | | | |
| Total | | | | |
| Total as a % of Total Voting rights | | | | |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | |
| No. Of Warrants (Xi) | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | |
| Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2) | 25.23 | 4.53 | 5.98 | 35.74 |
| Number of Locke | d in shares (XII) | ı | 1 | |
| No. (a) | | | | |
| As a % of total Shares held (b) | | | | |
| Number of Shares | pledged or otherwise encumbered (| XIII) | | • |
| No. (a) | | | | |
| As a % of total | | | | |
| Shares held (b) | | | | |

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| Number of equity shares held in dematerialized | 12663768 | 2274900 | 3000000 | 17938668 |
|--|----------|---------|---------|----------|
| form (XIV) | | | | 1 |

| | | Any Other (specify) | | |
|---|------------------------------------|---------------------------------|----------------------------------|----------|
| Searial No. | 1 | 2 | 3 | |
| Category | Bodies Corporate | Bodies Corporate | Bodies Corporate | |
| Name of the Shareholders (I) | SUVI DEVELOPERS PRIVATE LIMITED | NECC SECURITIES PRIVATE LIMITED | NECC AUTOMOBILES PRIVATE LIMITED | |
| PAN (II) | AAACS1835Q | AABCN5136E | AABCN5137F | Total |
| No. of the Shareholders (I) | 1 | 1 | 1 | 3 |
| No. of fully paid up equity shares held (IV) | 3631400 | 7656880 | 8334152 | 19622432 |
| No. Of Partly paid-up equity shares held (V) | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 3631400 | 7656880 | 8334152 | 19622432 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 7.23 | 15.25 | 16.6 | 39.09 |
| Number of Voting | Rights held in each class of secur | ities (IX) | | |
| Class eg: X | | | | |
| Class eg:y | | | | |
| Total | | | | |
| Total as a % of Total Voting rights | | | | |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | |
| No. Of Warrants (Xi) | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | |
| Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 7.23 | 15.25 | 16.6 | 39.09 |
| | d in shares (XII) | • | • | |
| Number of Locke | a in shares (2111) | | | |
| Number of Locke | a in shares (ATI) | | | |

| No. (a) | | |
|------------------------------------|--|--|
| As a % of total Shares held (b) | | |

| Ind | ividuals - i.Individual shareholders holding nominal share capital up to Rs. 2 lakhs | • |
|---|--|--------|
| Searial No. | 1 | |
| Name of the Shareholders (I) | Peeyush Kumar Aggarwal and other Individual Shareholders | |
| PAN (II) | AACPA6470C | Total |
| No. of fully paid up equity shares held (IV) | 782965 | 782965 |
| No. Of Partly paid-up equity shares held (V) | | |
| No. Of shares underlying Depository Receipts (VI) | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 782965 | 782965 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 1.56 | 1.56 |
| Number of Voting | Rights held in each class of securities (IX) | |
| Class eg: X | | |
| Class eg:y | | |
| Total | | |
| Total as a % of Total Voting rights | | |
| No. Of Shares Underlying Outstanding convertible securities (X) | | |
| No. Of Warrants (Xi) | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | |
| Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 1.56 | 1.56 |
| Number of Locked | d in shares (XII) | |
| No. (a) | | |
| As a % of total Shares held (b) | | |
| Number of equity shares held in dematerialized form (XIV) | 782965 | 782965 |

| Individ | uals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 | lakhs. |
|---|---|---------|
| Searial No. | 1 | |
| Name of the Shareholders (I) | Peeyush Kumar Aggarwal & other Individual Shareholders | |
| PAN (II) | AACPA6470C | Total |
| No. of fully paid up equity shares held (IV) | 2022526 | 2022526 |
| No. Of Partly paid-up equity shares held (V) | | |
| No. Of shares underlying Depository Receipts (VI) | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 2022526 | 2022526 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 4.03 | 4.03 |
| Number of Voting | Rights held in each class of securities (IX) | |
| Class eg: X | | |
| Class eg:y | | |
| Total | | |
| Total as a % of Total Voting rights | | |
| No. Of Shares Underlying Outstanding convertible securities (X) | | |
| No. Of Warrants (Xi) | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | |
| Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 4.03 | 4.03 |
| Number of Locked | d in shares (XII) | 1 |
| No. (a) | | |
| As a % of total Shares held (b) | | |
| Number of equity shares held in dematerialized form (XIV) | 2022526 | 2022526 |

| Any Other (specify) | | | | | | |
|---|---|--|---|---------|--|--|
| Searial No. | 1 | 2 | 3 | | | |
| Category | Bodies Corporate | Other | Clearing Members | | | |
| Name of the Shareholders (I) | Religare Finvest Limited and other Bodies Corporate | Kishor Vishandas Galani and other Non-resident indian/OCB | Globe Capital Market Ltd and other Clearing Members | | | |
| PAN (II) | AAFCS6801H | AQZPG0458R | AAACG4267G | Total | | |
| No. of the Shareholders (I) | 119 | 3 | 23 | 145 | | |
| No. of fully paid up equity shares held (IV) | 9497403 | 258 | 333084 | 9830745 | | |
| No. Of Partly paid-up equity shares held (V) | | | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 9497403 | 258 | 333084 | 9830745 | | |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 18.92 | 0 | 0.66 | 19.58 | | |
| Number of Voting | Rights held in each class of sec | urities (IX) | 1 | | | |
| Class eg: X | | | | | | |
| Class eg:y | | | | | | |
| Total | | | | | | |
| Total as a % of Total Voting rights | | | | | | |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | | | |
| No. Of Warrants (Xi) | | | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | | | |
| Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 18.92 | 0 | 0.66 | 19.58 | | |
| Number of Locked in shares (XII) | | | | | | |
| No. (a) | | | | | | |
| As a % of total Shares held (b) | | | | | | |
| Number of equity | | | | | | |

| shares held in | 9497403 | 258 | 333084 | 9830745 |
|----------------|---------|-----|--------|---------|
| dematerialized | 7177103 | 230 | 333001 | 7030713 |
| form (XIV) | | | | |
| | | | | |