

| General information about company  |  |
|--|--|
| Scrip code   | 534615                                     |
| NSE Symbol   | NECCLTD                                    |
| MSEI Symbol  | NA   |
| ISIN   | INE553C01016                               |
| Name of the company  | NORTH EASTERN CARRYING CORPORATION LIMITED |
| Whether company is SME   | No   |
| Class of Security  | Equity Shares                              |
| Type of report   | Quarterly                                  |
| Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)                | 30-06-2019                                 |
| Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date |  |
| Shareholding pattern filed under   | Regulation 31 (1) (b)                      |

| <b>Declaration</b> |  |        |                             |                    |                         |
|--------------------|--|--------|-----------------------------|--------------------|-------------------------|
| Sr. No.            | Particular   | Yes/No | Promoter and Promoter Group | Public shareholder | Non Promoter-Non Public |
| 1                  | Whether the Listed Entity has issued any partly paid up shares?                        | No     | No                          | No                 | No                      |
| 2                  | Whether the Listed Entity has issued any Convertible Securities ?                      | No     | No                          | No                 | No                      |
| 3                  | Whether the Listed Entity has issued any Warrants ?                                    | No     | No                          | No                 | No                      |
| 4                  | Whether the Listed Entity has any shares against which depository receipts are issued? | No     | No                          | No                 | No                      |
| 5                  | Whether the Listed Entity has any shares in locked-in?                                 | No     | No                          | No                 | No                      |
| 6                  | Whether any shares held by promoters are pledge or otherwise encumbered?               | No     | No                          |                    |                         |
| 7                  | Whether company has equity shares with differential voting rights?                     | No     | No                          | No                 | No                      |
| 8                  | Whether the listed entity has any significant beneficial owner?                        | Yes    |                             |                    |                         |

**Table I - Summary Statement holding of specified securities**

| Category (I) | Category of shareholder (II)   | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | No. Of Partly paid-up equity shares held (V) | No. Of shares underlying Depository Receipts (VI) | Total nos. shares held (VII) = (IV)+ (V)+ (VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) |             |          |                         |
|--------------|--------------------------------|----------------------------|--|--|---|--|---|---|-------------|----------|-------------------------|
|              |                                |                            |  |  |   |  |   | No of Voting (XIV) Rights                                     |             |          | Total as a % of (A+B+C) |
|              |                                |                            |  |  |   |  |   | Class eg: X   | Class eg: y | Total    |                         |
| (A)          | Promoter & Promoter Group      | 5                          | 28440111                                     |  |   | 28440111                                       | 56.66   | 28440111  |             | 28440111 | 56.66                   |
| (B)          | Public                         | 16175                      | 21757225                                     |  |   | 21757225                                       | 43.34   | 21757225  |             | 21757225 | 43.34                   |
| (C)          | Non Promoter-Non Public        |                            |  |  |   |  |   |   |             |          |                         |
| (C1)         | Shares underlying DRs          |                            |  |  |   |  |   |   |             |          |                         |
| (C2)         | Shares held by Employee Trusts |                            |  |  |   |  |   |   |             |          |                         |
|              | Total                          | 16180                      | 50197336                                     |  |   | 50197336                                       | 100   | 50197336  |             | 50197336 | 100                     |

| Table I - Summary Statement holding of specified securities |                                |   |  |  |   |                                  |                                 |   |                                 |   |
|---|--------------------------------|---|--|--|---|----------------------------------|---------------------------------|---|---------------------------------|---|
| Category (I)  | Category of shareholder (II)   | No. Of Shares Underlying Outstanding convertible securities (X) | No. of Shares Underlying Outstanding Warrants (Xi) | No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | Number of Locked in shares (XII) |                                 | Number of Shares pledged or otherwise encumbered (XIII) |                                 | Number of equity shares held in dematerialized form (XIV) |
|   |                                |   |  |  |   | No. (a)                          | As a % of total Shares held (b) | No. (a)   | As a % of total Shares held (b) |   |
| (A)   | Promoter & Promoter Group      |   |  |  | 56.66   |                                  |                                 |   |                                 | 28440111  |
| (B)   | Public                         |   |  |  | 43.34   |                                  |                                 |   |                                 | 21618085  |
| (C)   | Non Promoter-Non Public        |   |  |  |   |                                  |                                 |   |                                 |   |
| (C1)  | Shares underlying DRs          |   |  |  |   |                                  |                                 |   |                                 |   |
| (C2)  | Shares held by Employee Trusts |   |  |  |   |                                  |                                 |   |                                 |   |
|   | Total                          |   |  |  | 100   |                                  |                                 |   |                                 | 50058196  |

| Table II - Statement showing shareholding pattern of the Promoter and Promoter Group |   |                            |  |  |   |  |   |   |            |          |                                     |
|--|---|----------------------------|--|--|---|--|---|---|------------|----------|-------------------------------------|
| Sr.  | Category & Name of the Shareholders (I)   | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | No. Of Partly paid-up equity shares held (V) | No. Of shares underlying Depository Receipts (VI) | Total nos. shares held (VII) = (IV)+(V)+ (V)+ (VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) |            |          |                                     |
|  |   |                            |  |  |   |  |   | No of Voting (XIV) Rights                                     |            |          | Total as a % of Total Voting rights |
|  |   |                            |  |  |   |  |   | Class eg: X   | Class eg:y | Total    |                                     |
| A  | Table II - Statement showing shareholding pattern of the Promoter and Promoter Group              |                            |  |  |   |  |   |   |            |          |                                     |
| (1)  | Indian  |                            |  |  |   |  |   |   |            |          |                                     |
| (a)  | Individuals/Hindu undivided Family  | 2                          | 8817679                                      |  |   | 8817679  | 17.57   | 8817679   |            | 8817679  | 17.57                               |
| (d)  | Any Other (specify)   | 3                          | 19622432                                     |  |   | 19622432   | 39.09   | 19622432  |            | 19622432 | 39.09                               |
| Sub-Total (A)(1)   |   | 5                          | 28440111                                     |  |   | 28440111   | 56.66   | 28440111  |            | 28440111 | 56.66                               |
| (2)  | Foreign   |                            |  |  |   |  |   |   |            |          |                                     |
| Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)                 |   | 5                          | 28440111                                     |  |   | 28440111   | 56.66   | 28440111  |            | 28440111 | 56.66                               |
| B  | Table III - Statement showing shareholding pattern of the Public shareholder                      |                            |  |  |   |  |   |   |            |          |                                     |
| (1)  | Institutions  |                            |  |  |   |  |   |   |            |          |                                     |
| (e)  | Foreign Portfolio Investors   | 2                          | 400229                                       |  |   | 400229   | 0.8   | 400229  |            | 400229   | 0.8                                 |
| (f)  | Financial Institutions/ Banks   | 1                          | 30000  |  |   | 30000  | 0.06  | 30000   |            | 30000    | 0.06                                |
| Sub-Total (B)(1)   |   | 3                          | 430229                                       |  |   | 430229   | 0.86  | 430229  |            | 430229   | 0.86                                |
| ( 3 )  | Non-institutions  |                            |  |  |   |  |   |   |            |          |                                     |
| (a(i))   | Individuals - i.Individual shareholders holding nominal share capital up to Rs. 2 lakhs.          | 15878                      | 10677653                                     |  |   | 10677653   | 21.27   | 10677653  |            | 10677653 | 21.27                               |
| (a(ii))  | Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs. | 49                         | 3572813                                      |  |   | 3572813  | 7.12  | 3572813   |            | 3572813  | 7.12                                |
| (e)  | Any Other (specify)   | 245                        | 7076530                                      |  |   | 7076530  | 14.1  | 7076530   |            | 7076530  | 14.1                                |
| Sub-Total (B)(3)   |   | 16172                      | 21326996                                     |  |   | 21326996   | 42.49   | 21326996  |            | 21326996 | 42.49                               |
| Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)                                   |   | 16175                      | 21757225                                     |  |   | 21757225   | 43.34   | 21757225  |            | 21757225 | 43.34                               |
| C  | Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder     |                            |  |  |   |  |   |   |            |          |                                     |
| Total ( A+B+C2 )   |   | 16180                      | 50197336                                     |  |   | 50197336   | 100   | 50197336  |            | 50197336 | 100                                 |
| Total (A+B+C )   |   | 16180                      | 50197336                                     |  |   | 50197336   | 100   | 50197336  |            | 50197336 | 100                                 |

| Table II - Statement showing shareholding pattern of the Promoter and Promoter Group |   |  |  |   |                                  |                                 |   |                                 |   |
|--|---|--|--|---|----------------------------------|---------------------------------|---|---------------------------------|---|
| Sr.  | No. Of Shares Underlying Outstanding convertible securities (X)                               | No. of Shares Underlying Outstanding Warrants (Xi) | No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | Number of Locked in shares (XII) |                                 | Number of Shares pledged or otherwise encumbered (XIII) |                                 | Number of equity shares held in dematerialized form (XIV) |
|  |   |  |  |   | No. (a)                          | As a % of total Shares held (b) | No. (a)   | As a % of total Shares held (b) |   |
| A  | Table II - Statement showing shareholding pattern of the Promoter and Promoter Group          |  |  |   |                                  |                                 |   |                                 |   |
| (1)  | Indian  |  |  |   |                                  |                                 |   |                                 |   |
| (a)  |   |  |  | 17.57   |                                  |                                 |   |                                 | 8817679   |
| (d)  |   |  |  | 39.09   |                                  |                                 |   |                                 | 19622432  |
| Sub-Total (A) (1)  |   |  |  | 56.66   |                                  |                                 |   |                                 | 28440111  |
| (2)  | Foreign   |  |  |   |                                  |                                 |   |                                 |   |
| Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)                 |   |  |  | 56.66   |                                  |                                 |   |                                 | 28440111  |
| B  | Table III - Statement showing shareholding pattern of the Public shareholder                  |  |  |   |                                  |                                 |   |                                 |   |
| (1)  | Institutions  |  |  |   |                                  |                                 |   |                                 |   |
| (e)  |   |  |  | 0.8   |                                  |                                 |   |                                 | 400229  |
| (f)  |   |  |  | 0.06  |                                  |                                 |   |                                 | 30000   |
| Sub-Total (B) (1)  |   |  |  | 0.86  |                                  |                                 |   |                                 | 430229  |
| ( 3 )  | Non-institutions  |  |  |   |                                  |                                 |   |                                 |   |
| (a(i))   |   |  |  | 21.27   |                                  |                                 |   |                                 | 10538513  |
| (a(ii))  |   |  |  | 7.12  |                                  |                                 |   |                                 | 3572813   |
| (e)  |   |  |  | 14.1  |                                  |                                 |   |                                 | 7076530   |
| Sub-Total (B) (3)  |   |  |  | 42.49   |                                  |                                 |   |                                 | 21187856  |
| Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)                                   |   |  |  | 43.34   |                                  |                                 |   |                                 | 21618085  |
| C  | Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder |  |  |   |                                  |                                 |   |                                 |   |
| Total ( A+B+C2 )   |   |  |  | 100   |                                  |                                 |   |                                 | 50058196  |
| Total (A+B+C )   |   |  |  | 100   |                                  |                                 |   |                                 | 50058196  |

| Individuals/Hindu undivided Family   |                  |              |                                       |
|--|------------------|--------------|---------------------------------------|
| Serial No.   | 1                | 2            |                                       |
| Name of the Shareholders (I)   | SUNIL KUMAR JAIN | UTKARSH JAIN | <a href="#">Click here to go back</a> |
| PAN (II)   | AAIPJ5927B       | ATPPJ6751R   | Total                                 |
| No. of fully paid up equity shares held (IV)   | 8663769          | 153910       | 8817679                               |
| No. Of Partly paid-up equity shares held (V)   |                  |              |                                       |
| No. Of shares underlying Depository Receipts (VI)  |                  |              |                                       |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI)   | 8663769          | 153910       | 8817679                               |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)  | 17.26            | 0.31         | 17.57                                 |
| Number of Voting Rights held in each class of securities (IX)  |                  |              |                                       |
| Class eg:X   | 8663769          | 153910       | 8817679                               |
| Class eg:y   |                  |              |                                       |
| Total  | 8663769          | 153910       | 8817679                               |
| Total as a % of Total Voting rights  | 17.26            | 0.31         | 17.57                                 |
| No. Of Shares Underlying Outstanding convertible securities (X)  |                  |              |                                       |
| No. of Shares Underlying Outstanding Warrants (Xi)   |                  |              |                                       |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)   |                  |              |                                       |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2) | 17.26            | 0.31         | 17.57                                 |
| Number of Locked in shares (XII)   |                  |              |                                       |
| No. (a)  |                  |              |                                       |
| As a % of total Shares held (b)  |                  |              |                                       |
| Number of Shares pledged or otherwise encumbered (XIII)  |                  |              |                                       |
| No. (a)  |                  |              |                                       |
| As a % of total Shares held (b)  |                  |              |                                       |
| Number of equity shares held in dematerialized form (XIV)  | 8663769          | 153910       | 8817679                               |
| Reason for not providing PAN   |                  |              |                                       |
|  |                  |              |                                       |

|                              |          |          |  |
|------------------------------|----------|----------|--|
| Reason for not providing PAN |          |          |  |
| Shareholder type             | Promoter | Promoter |  |

| Any Other (specify)  |                                 |                                 |                                  |                                       |
|--|---------------------------------|---------------------------------|----------------------------------|---------------------------------------|
| Serial No.   | 1                               | 2                               | 3                                |                                       |
| Category   | Bodies Corporate                | Bodies Corporate                | Bodies Corporate                 | <a href="#">Click here to go back</a> |
| Name of the Shareholders (I)   | SUVI DEVELOPERS PRIVATE LIMITED | NECC SECURITIES PRIVATE LIMITED | NECC AUTOMOBILES PRIVATE LIMITED |                                       |
| PAN (II)   | AAACS1835Q                      | AABCN5136E                      | AABCN5137F                       | Total                                 |
| No. of the Shareholders (I)  | 1                               | 1                               | 1                                | 3                                     |
| No. of fully paid up equity shares held (IV)   | 3631400                         | 7656880                         | 8334152                          | 19622432                              |
| No. Of Partly paid-up equity shares held (V)   |                                 |                                 |                                  |                                       |
| No. Of shares underlying Depository Receipts (VI)  |                                 |                                 |                                  |                                       |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI)   | 3631400                         | 7656880                         | 8334152                          | 19622432                              |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)  | 7.23                            | 15.25                           | 16.6                             | 39.09                                 |
| Number of Voting Rights held in each class of securities (IX)  |                                 |                                 |                                  |                                       |
| Class eg: X  | 3631400                         | 7656880                         | 8334152                          | 19622432                              |
| Class eg:y   |                                 |                                 |                                  |                                       |
| Total  | 3631400                         | 7656880                         | 8334152                          | 19622432                              |
| Total as a % of Total Voting rights  | 7.23                            | 15.25                           | 16.6                             | 39.09                                 |
| No. Of Shares Underlying Outstanding convertible securities (X)  |                                 |                                 |                                  |                                       |
| No. of Shares Underlying Outstanding Warrants (Xi)   |                                 |                                 |                                  |                                       |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)   |                                 |                                 |                                  |                                       |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 7.23                            | 15.25                           | 16.6                             | 39.09                                 |
| Number of Locked in shares (XII)   |                                 |                                 |                                  |                                       |
| No. (a)  |                                 |                                 |                                  |                                       |
| As a % of total Shares held (b)  |                                 |                                 |                                  |                                       |
| Number of Shares pledged or otherwise encumbered (XIII)  |                                 |                                 |                                  |                                       |
| No. (a)  |                                 |                                 |                                  |                                       |
| As a % of total Shares held (b)  |                                 |                                 |                                  |                                       |

|   |                |                |                |          |
|---|----------------|----------------|----------------|----------|
| Number of equity shares held in dematerialized form (XIV) | 3631400        | 7656880        | 8334152        | 19622432 |
| Reason for not providing PAN                              |                |                |                |          |
| Reason for not providing PAN                              |                |                |                |          |
| Shareholder type  | Promoter Group | Promoter Group | Promoter Group |          |

| <b>Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.</b>   |                        |                                       |
|--|------------------------|---------------------------------------|
| Serial No.   | 1                      |                                       |
| Name of the Shareholders (I)   | PEEYUSH KUMAR AGGARWAL | <a href="#">Click here to go back</a> |
| PAN (II)   | AACPA6470C             | Total                                 |
| No. of fully paid up equity shares held (IV)   | 1452092                | 1452092                               |
| No. Of Partly paid-up equity shares held (V)   |                        |                                       |
| No. Of shares underlying Depository Receipts (VI)  |                        |                                       |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI)   | 1452092                | 1452092                               |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)  | 2.89                   | 2.89                                  |
| Number of Voting Rights held in each class of securities (IX)  |                        |                                       |
| Class eg: X  | 1452092                | 1452092                               |
| Class eg:y   |                        |                                       |
| Total  | 1452092                | 1452092                               |
| Total as a % of Total Voting rights  | 2.89                   | 2.89                                  |
| No. Of Shares Underlying Outstanding convertible securities (X)  |                        |                                       |
| No. of Shares Underlying Outstanding Warrants (Xi)   |                        |                                       |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)   |                        |                                       |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 2.89                   | 2.89                                  |
| Number of Locked in shares (XII)   |                        |                                       |
| No. (a)  |                        |                                       |
| As a % of total Shares held (b)  |                        |                                       |
| Number of equity shares held in dematerialized form (XIV)  | 1452092                | 1452092                               |
| Reason for not providing PAN   |                        |                                       |
| Reason for not providing PAN   |                        |                                       |

| Any Other (specify)  |                  |                  |                           |                                       |
|--|------------------|------------------|---------------------------|---------------------------------------|
| Serial No.   | 1                | 2                | 3                         |                                       |
| Category   | Bodies Corporate | Clearing Members | Non-Resident Indian (NRI) |                                       |
| Category / More than 1 percentage  | Category         | Category         | Category                  |                                       |
| Name of the Shareholders (I)   |                  |                  |                           | <a href="#">Click here to go back</a> |
| PAN (II)   |                  |                  |                           | Total                                 |
| No. of the Shareholders (I)  | 1                | 74               | 58                        | 133                                   |
| No. of fully paid up equity shares held (IV)   | 6036494          | 132104           | 215656                    | 6384254                               |
| No. Of Partly paid-up equity shares held (V)   |                  |                  |                           |                                       |
| No. Of shares underlying Depository Receipts (VI)  |                  |                  |                           |                                       |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI)   | 6036494          | 132104           | 215656                    | 6384254                               |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)  | 12.03            | 0.26             | 0.43                      | 12.72                                 |
| Number of Voting Rights held in each class of securities (IX)  |                  |                  |                           |                                       |
| Class eg: X  | 6036494          | 132104           | 215656                    | 6384254                               |
| Class eg:y   |                  |                  |                           |                                       |
| Total  | 6036494          | 132104           | 215656                    | 6384254                               |
| Total as a % of Total Voting rights  | 12.03            | 0.26             | 0.43                      | 12.72                                 |
| No. Of Shares Underlying Outstanding convertible securities (X)  |                  |                  |                           |                                       |
| No. of Shares Underlying Outstanding Warrants (Xi)   |                  |                  |                           |                                       |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)   |                  |                  |                           |                                       |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 12.03            | 0.26             | 0.43                      | 12.72                                 |
| Number of Locked in shares (XII)   |                  |                  |                           |                                       |
| No. (a)  |                  |                  |                           |                                       |
| As a % of total Shares held (b)  |                  |                  |                           |                                       |
| Number of equity shares held in dematerialized form (XIV)  | 6036494          | 132104           | 215656                    | 6384254                               |
| Reason for not providing PAN   |                  |                  |                           |                                       |

|                              |  |  |  |  |
|------------------------------|--|--|--|--|
| Reason for not providing PAN |  |  |  |  |
|------------------------------|--|--|--|--|

**significant beneficial owners**

| Details of the SBO |            |  |             |   | Details of the registered owner              |            |  |             |   | Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect* |               |  |                     |                                   |
|--------------------|------------|--|-------------|---|--|------------|--|-------------|---|--|---------------|--|---------------------|-----------------------------------|
| Name               | PAN        | Passport No. in case of a foreign national | Nationality | Nationality (Applicable in case of Any other is selected) | Name   | PAN        | Passport No. in case of a foreign national | Nationality | Nationality (Applicable in case of Any other is selected) | Whether by virtue of:  |               |  |                     |                                   |
|                    |            |  |             |   |  |            |  |             |   | Shares   | Voting rights | Rights on distributable dividend or any other distribution | Exercise of control | Exercise of significant influence |
| NIL<br>[MAR<br>IN  | AAIPJ5927B |  | India       |   | N E C C<br>AUTOMOBILES<br>PRIVATE<br>LIMITED | AABCN5137F |  | India       |   | 16.6   | 16.6          |  | Yes                 | Yes                               |
| NIL<br>[MAR<br>IN  | AAIPJ5927B |  | India       |   | N E C C<br>SECURITIES<br>PRIVATE<br>LIMITED  | AABCN5136E |  | India       |   | 15.25  | 15.25         |  | Yes                 | Yes                               |
| <b>Total:</b>      |            |  |             |   |  |            |  |             |   | <b>31.85</b>   | <b>31.85</b>  | <b>0</b>   |                     |                                   |