

| General information about company | |
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| Scrip code | 534615 |
| NSE Symbol | NECCLTD |
| MSEI Symbol | NA |
| ISIN | INE553C01016 |
| Name of the company | NORTH EASTERN CARRYING CORPORATION LIMITED |
| Whether company is SME | No |
| Class of Security | Equity Shares |
| Type of report | Quarterly |
| Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment) | 30-09-2019 |
| Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date | |
| Shareholding pattern filed under | Regulation 31 (1) (b) |

| Declaration | | | | | |
|-------------|--|--------|-----------------------------|--------------------|-------------------------|
| Sr. No. | Particular | Yes/No | Promoter and Promoter Group | Public shareholder | Non Promoter-Non Public |
| 1 | Whether the Listed Entity has issued any partly paid up shares? | No | No | No | No |
| 2 | Whether the Listed Entity has issued any Convertible Securities ? | No | No | No | No |
| 3 | Whether the Listed Entity has issued any Warrants ? | No | No | No | No |
| 4 | Whether the Listed Entity has any shares against which depository receipts are issued? | No | No | No | No |
| 5 | Whether the Listed Entity has any shares in locked-in? | No | No | No | No |
| 6 | Whether any shares held by promoters are pledge or otherwise encumbered? | No | No | | |
| 7 | Whether company has equity shares with differential voting rights? | No | No | No | No |
| 8 | Whether the listed entity has any significant beneficial owner? | No | | | |

Table I - Summary Statement holding of specified securities

| Category (I) | Category of shareholder (II) | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | No. Of Partly paid-up equity shares held (V) | No. Of shares underlying Depository Receipts (VI) | Total nos. shares held (VII) = (IV)+ (V)+ (VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) | | | |
|--------------|--------------------------------|----------------------------|--|--|---|--|---|---|------------|----------|-------------------------|
| | | | | | | | | No of Voting (XIV) Rights | | | Total as a % of (A+B+C) |
| | | | | | | | | Class eg: X | Class eg:y | Total | |
| (A) | Promoter & Promoter Group | 5 | 28440111 | | | 28440111 | 56.66 | 28440111 | | 28440111 | 69.03 |
| (B) | Public | 15985 | 21757225 | | | 21757225 | 43.34 | 12757224 | | 12757224 | 30.97 |
| (C) | Non Promoter-Non Public | | | | | | | | | | |
| (C1) | Shares underlying DRs | | | | | | | | | | |
| (C2) | Shares held by Employee Trusts | | | | | | | | | | |
| | Total | 15990 | 50197336 | | | 50197336 | 100 | 41197335 | | 41197335 | 100 |

Table I - Summary Statement holding of specified securities

| Category (I) | Category of shareholder (II) | No. Of Shares Underlying Outstanding convertible securities (X) | No. of Shares Underlying Outstanding Warrants (Xi) | No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) |
|--------------|--------------------------------|---|--|--|---|----------------------------------|---------------------------------|---|---------------------------------|---|
| | | | | | | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | |
| (A) | Promoter & Promoter Group | | | | 56.66 | | | | | 28440111 |
| (B) | Public | | | | 43.34 | | | | | 21618085 |
| (C) | Non Promoter-Non Public | | | | | | | | | |
| (C1) | Shares underlying DRs | | | | | | | | | |
| (C2) | Shares held by Employee Trusts | | | | | | | | | |
| | Total | | | | 100 | | | | | 50058196 |

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

| Sr. | Category & Name of the Shareholders (I) | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | No. Of Partly paid-up equity shares held (V) | No. Of shares underlying Depository Receipts (VI) | Total nos. shares held (VII) = (IV)+ (V)+ (VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) | | | |
|---|---|----------------------------|--|--|---|--|---|---|------------|----------|-------------------------------------|
| | | | | | | | | No of Voting (XIV) Rights | | | Total as a % of Total Voting rights |
| | | | | | | | | Class eg: X | Class eg:y | Total | |
| A | Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | | | |
| (1) | Indian | | | | | | | | | | |
| (a) | Individuals/Hindu undivided Family | 2 | 8817679 | | | 8817679 | 17.57 | 8817679 | | 8817679 | 21.4 |
| (d) | Any Other (specify) | 3 | 19622432 | | | 19622432 | 39.09 | 19622432 | | 19622432 | 47.63 |
| Sub-Total (A)(1) | | 5 | 28440111 | | | 28440111 | 56.66 | 28440111 | | 28440111 | 69.03 |
| (2) | Foreign | | | | | | | | | | |
| Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A) (2) | | 5 | 28440111 | | | 28440111 | 56.66 | 28440111 | | 28440111 | 69.03 |
| B | Table III - Statement showing shareholding pattern of the Public shareholder | | | | | | | | | | |
| (1) | Institutions | | | | | | | | | | |
| (e) | Foreign Portfolio Investors | 1 | 350229 | | | 350229 | 0.7 | 350229 | | 350229 | 0.85 |
| Sub-Total (B)(1) | | 1 | 350229 | | | 350229 | 0.7 | 350229 | | 350229 | 0.85 |
| (3) | Non-institutions | | | | | | | | | | |
| (a(i)) | Individuals - i.Individual shareholders holding nominal share capital up to Rs. 2 lakhs. | 15734 | 10672458 | | | 10672458 | 21.26 | 1672458 | | 1672458 | 4.06 |
| (a(ii)) | Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs. | 57 | 3743097 | | | 3743097 | 7.46 | 3743097 | | 3743097 | 9.09 |
| (e) | Any Other (specify) | 193 | 6991441 | | | 6991441 | 13.93 | 6991440 | | 6991440 | 16.97 |
| Sub-Total (B)(3) | | 15984 | 21406996 | | | 21406996 | 42.65 | 12406995 | | 12406995 | 30.12 |
| Total Public Shareholding (B)=(B)(1)+(B)(2)+(B) (3) | | 15985 | 21757225 | | | 21757225 | 43.34 | 12757224 | | 12757224 | 30.97 |
| C | Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder | | | | | | | | | | |
| Total (A+B+C2) | | 15990 | 50197336 | | | 50197336 | 100 | 41197335 | | 41197335 | 100 |
| Total (A+B+C) | | 15990 | 50197336 | | | 50197336 | 100 | 41197335 | | 41197335 | 100 |

| Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | | |
|--|---|--|--|---|----------------------------------|---------------------------------|---|---------------------------------|---|
| Sr. | No. Of Shares Underlying Outstanding convertible securities (X) | No. of Shares Underlying Outstanding Warrants (Xi) | No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) |
| | | | | | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | |
| A | Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | |
| (1) | Indian | | | | | | | | |
| (a) | | | | 17.57 | | | | | 8817679 |
| (d) | | | | 39.09 | | | | | 19622432 |
| Sub-Total (A) (1) | | | | 56.66 | | | | | 28440111 |
| (2) | Foreign | | | | | | | | |
| Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2) | | | | 56.66 | | | | | 28440111 |
| B | Table III - Statement showing shareholding pattern of the Public shareholder | | | | | | | | |
| (1) | Institutions | | | | | | | | |
| (e) | | | | 0.7 | | | | | 350229 |
| Sub-Total (B) (1) | | | | 0.7 | | | | | 350229 |
| (3) | Non-institutions | | | | | | | | |
| (a(i)) | | | | 21.26 | | | | | 10533318 |
| (a(ii)) | | | | 7.46 | | | | | 3743097 |
| (e) | | | | 13.93 | | | | | 6991441 |
| Sub-Total (B) (3) | | | | 42.65 | | | | | 21267856 |
| Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3) | | | | 43.34 | | | | | 21618085 |
| C | Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder | | | | | | | | |
| Total (A+B+C2) | | | | 100 | | | | | 50058196 |
| Total (A+B+C) | | | | 100 | | | | | 50058196 |

| Individuals/Hindu undivided Family | | | |
|--|------------------|--------------|---------------------------------------|
| Searial No. | 1 | 2 | |
| Name of the Shareholders (I) | SUNIL KUMAR JAIN | UTKARSH JAIN | Click here to go back |
| PAN (II) | AAIPJ5927B | ATPPJ6751R | Total |
| No. of fully paid up equity shares held (IV) | 8663769 | 153910 | 8817679 |
| No. Of Partly paid-up equity shares held (V) | | | |
| No. Of shares underlying Depository Receipts (VI) | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 8663769 | 153910 | 8817679 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 17.26 | 0.31 | 17.57 |
| Number of Voting Rights held in each class of securities (IX) | | | |
| Class eg:X | 8663769 | 153910 | 8817679 |
| Class eg:y | | | |
| Total | 8663769 | 153910 | 8817679 |
| Total as a % of Total Voting rights | 21.03 | 0.37 | 21.4 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2) | 17.26 | 0.31 | 17.57 |
| Number of Locked in shares (XII) | | | |
| No. (a) | | | |
| As a % of total Shares held (b) | | | |
| Number of Shares pledged or otherwise encumbered (XIII) | | | |
| No. (a) | | | |
| As a % of total Shares held (b) | | | |

| | | | |
|---|----------|----------|---------|
| Number of equity shares held in dematerialized form (XIV) | 8663769 | 153910 | 8817679 |
| Reason for not providing PAN | | | |
| Reason for not providing PAN | | | |
| Shareholder type | Promoter | Promoter | |

| Any Other (specify) | | | | |
|--|---------------------------------|-------------------------|--------------------------|-----------------------|
| Serial No. | 1 | 2 | 3 | |
| Category | Bodies Corporate | Bodies Corporate | Bodies Corporate | Click here to go back |
| Name of the Shareholders (I) | SUVI DEVELOPERS PRIVATE LIMITED | NECC SECURITIES PVT LTD | NECC AUTOMOBILES PVT LTD | |
| PAN (II) | AAACS1835Q | AABCN5136E | AABCN5137F | Total |
| No. of the Shareholders (I) | 1 | 1 | 1 | 3 |
| No. of fully paid up equity shares held (IV) | 3631400 | 7656880 | 8334152 | 19622432 |
| No. Of Partly paid-up equity shares held (V) | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 3631400 | 7656880 | 8334152 | 19622432 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 7.23 | 15.25 | 16.6 | 39.09 |
| Number of Voting Rights held in each class of securities (IX) | | | | |
| Class eg: X | 3631400 | 7656880 | 8334152 | 19622432 |
| Class eg:y | | | | |
| Total | 3631400 | 7656880 | 8334152 | 19622432 |
| Total as a % of Total Voting rights | 8.81 | 18.59 | 20.23 | 47.63 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 7.23 | 15.25 | 16.6 | 39.09 |
| Number of Locked in shares (XII) | | | | |
| No. (a) | | | | |
| As a % of total Shares held (b) | | | | |

| Number of Shares pledged or otherwise encumbered (XIII) | | | | |
|---|----------------|----------------|----------------|----------|
| No. (a) | | | | |
| As a % of total Shares held (b) | | | | |
| Number of equity shares held in dematerialized form (XIV) | 3631400 | 7656880 | 8334152 | 19622432 |
| Reason for not providing PAN | | | | |
| Reason for not providing PAN | | | | |
| Shareholder type | Promoter Group | Promoter Group | Promoter Group | |

| Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs. | | |
|--|------------------------|---------------------------------------|
| Searial No. | 1 | |
| Name of the Shareholders (I) | PEEYUSH KUMAR AGGARWAL | Click here to go back |
| PAN (II) | AACPA6470C | Total |
| No. of fully paid up equity shares held (IV) | 1452092 | 1452092 |
| No. Of Partly paid-up equity shares held (V) | | |
| No. Of shares underlying Depository Receipts (VI) | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 1452092 | 1452092 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 2.89 | 2.89 |
| Number of Voting Rights held in each class of securities (IX) | | |
| Class eg: X | 1452092 | 1452092 |
| Class eg:y | | |
| Total | 1452092 | 1452092 |
| Total as a % of Total Voting rights | 3.52 | 3.52 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 2.89 | 2.89 |
| Number of Locked in shares (XII) | | |
| No. (a) | | |
| As a % of total Shares held (b) | | |
| Number of equity shares held in dematerialized form (XIV) | 1452092 | 1452092 |
| Reason for not providing PAN | | |

| | | |
|------------------------------|--|--|
| Reason for not providing PAN | | |
|------------------------------|--|--|

| Any Other (specify) | | | | |
|--|--|------------------|---------------------------|---------------------------------------|
| Serial No. | 1 | 2 | 3 | |
| Category | Bodies Corporate | Clearing Members | Non-Resident Indian (NRI) | |
| Category / More than 1 percentage | More than 1 percentage of shareholding | Category | Category | |
| Name of the Shareholders (I) | RELIGARE FINVEST LTD | | | Click here to go back |
| PAN (II) | AAFCS6801H | | | Total |
| No. of the Shareholders (I) | 1 | 47 | 56 | 103 |
| No. of fully paid up equity shares held (IV) | 6036494 | 56240 | 218731 | 274971 |
| No. Of Partly paid-up equity shares held (V) | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 6036494 | 56240 | 218731 | 274971 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 12.03 | 0.11 | 0.44 | 0.55 |
| Number of Voting Rights held in each class of securities (IX) | | | | |
| Class eg: X | 6036494 | 56240 | 218731 | 274971 |
| Class eg:y | | | | |
| Total | 6036494 | 56240 | 218731 | 274971 |
| Total as a % of Total Voting rights | 14.65 | 0.14 | 0.53 | 0.67 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 12.03 | 0.11 | 0.44 | 0.55 |
| Number of Locked in shares (XII) | | | | |
| No. (a) | | | | |
| As a % of total Shares held (b) | | | | |

| | | | | |
|---|---------|-------|--------|--------|
| Number of equity shares held in dematerialized form (XIV) | 6036494 | 56240 | 218731 | 274971 |
| Reason for not providing PAN | | | | |
| Reason for not providing PAN | | | | |

| Sr. No. | Details of the SBO | Details of the registered owner | Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect* | Date of creation / acquisition of significant beneficial interest | Name | PAN | Passport No. in case of a foreign national | Nationality | Nationality (Applicable in case of Any other is selected) | Name | PAN | Passport No. in case of a foreign national | Nationality | Nationality (Applicable in case of Any other is selected) | Whether by virtue of: | Shares | Voting rights | Rights on distributable dividend or any other distribution | Exercise of control | Exercise of significant influence |
|---------|--------------------|---------------------------------|--|---|------|-----|--|-------------|---|------|-----|--|-------------|---|-----------------------|--------|---------------|--|---------------------|-----------------------------------|
|---------|--------------------|---------------------------------|--|---|------|-----|--|-------------|---|------|-----|--|-------------|---|-----------------------|--------|---------------|--|---------------------|-----------------------------------|